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<b>(54) Title:</b> TWO-CYCLE ENGINE OIL FORMED FROM A BLEND OF A COMPLEX ALCOHOL ESTER AND OTHER BASES-TOCKS  <b>(57) Abstract</b>  A biodegradable two-cycle lubricant which is prepared from an add mixture of: (1) a biodegradable lubricating oil comprising an add mixture of the following components: a complex alcohol ester basestock which comprises the reaction product of an add mixture of the following: a polyhydroxyl compound represented by the general formula $R(OH)_n$ wherein R is any aliphatic or cyclo-aliphatic hydrocarbyl group and n is at least 2, provided that the hydrocarbyl group contains from about 2 to 20 carbon atoms; a polybasic acid or an anhydride of a polybasic acid, provided that the ratio of equivalents of the polybasic acid to equivalents of alcohol from the polyhydroxyl compound is in the range between about 1.6:1 to 2:1; and a monohydric alcohol, provided that the ratio of equivalents of the monohydric alcohol to equivalents of the polybasic acid is in the range between about 0.84:1 to 1.2:1; wherein the complex alcohol ester exhibits a viscosity in the range between about 100-700 cSt at 40 °C and has a polybasic acid ester concentration of less than or equal to 70 wt.%, based on the complex alcohol ester; and at least one additional basestock, wherein the biodegradable lubricating oil exhibits biodegradability of greater than 60 % as measured by the Sturm test; and (2) an additive package.		

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## **TWO-CYCLE ENGINE OIL FORMED FROM A BLEND OF A COMPLEX ALCOHOL ESTER AND OTHER BASESTOCKS**

5       The present invention relates generally to blends of natural, hydrocarbon-  
based and synthetic lubricant basestocks with high viscosity complex alcohol esters  
for use as a biodegradable two-cycle engine oil. These blended lubricating oils  
when additized with different adpacks, can achieve the performance requirements  
of a TC-W3 two-cycle engine oil. When the complex alcohol esters are blended  
with basestocks such as natural, hydrocarbon-based and/or synthetic esters, then  
10       the following performance improvements are seen: wide range of viscosities for  
various iso-grade applications, good low temperature properties, excellent  
lubricity, seal's compatibility, good oxidative and thermal stability, good  
biodegradability (i.e., at least 60% biodegradation as measured by the Modified  
Sturm test (OECD 301B) or Manometric Respirometer (OECD 301F)), and very  
15       low toxicity.

      The interest in developing biodegradable lubricants for use in applications  
which result in the dispersion of such lubricants into waterways, such as rivers,  
oceans and lakes, has generated substantial interest by both the environmental  
community and lubricant manufacturers. The synthesis of a lubricant which  
20       maintains its cold-flow properties and additive solubility without loss of  
biodegradation or lubrication would be highly desirable.

      Basestocks for biodegradable two-cycle lubricants should typically meet  
five criteria: 1) solubility with dispersants and other additives such as polyamides;  
(2) good cold flow properties (such as, less than -40°C pour point and less than  
25       7500 cps at -25°C); (3) sufficient biodegradability to off-set the low  
biodegradability of any dispersants and/or other additives to the formulated  
lubricant; (4) good lubricity without the aid of wear additives; and (5) very low  
toxicity of greater than 1,000 ppm.

The Organization for Economic Cooperation and Development (OECD) issued draft test guidelines for degradation and accumulation testing in December 1979. The Expert Group recommended that the following tests should be used to determine the "ready biodegradability" of organic chemicals: Modified OECD Screening Test, Modified MITI Test (I), Closed Bottle Test, Modified Sturm Test, the Modified AFNOR Test, and the Manometric Respirometer Test. The Group also recommended that the following "pass levels" of biodegradation, obtained within 28 days, may be regarded as good evidence of "ready biodegradability": (Dissolved Organic Carbon (DOC)) 70%; (Biological Oxygen Demand (BOD)) 60%; (Total Organic Carbon (TOD)) 60%; (CO<sub>2</sub>) 60%; (DOC) 70%; and (O<sub>2</sub> consumption) 60%, respectively, for the tests listed above. Therefore, the "pass level" of biodegradation, obtained within 28 days, using the Modified Sturm Test is at least (CO<sub>2</sub>) 60% and the Manometric Respirometer is at least (O<sub>2</sub>) 60%.

The OECD guideline for testing the "ready biodegradability" of chemicals under the Modified Sturm test (OECD 301B, adopted May 12, 1981, and which is incorporated herein by reference) involves the measurement of the amount of CO<sub>2</sub> produced by the microorganisms during the degradation of the test compound which is measured and expressed as a percent of the theoretical CO<sub>2</sub> (ThCO<sub>2</sub>) it should have produced calculated from the carbon content of the test compound. Biodegradability is therefore expressed as a percentage of ThCO<sub>2</sub>. The Modified Sturm test is run by spiking a chemically defined liquid medium, essentially free of other organic carbon sources, with the test material and inoculated with sewage micro-organisms. The CO<sub>2</sub> released is trapped as BaCO<sub>3</sub>. After reference to suitable blank controls, the total amount of CO<sub>2</sub> produced by the test compound is determined for the test period and calculated as the percentage of total CO<sub>2</sub> that the test material could have theoretically produced based on carbon composition. See G. van der Waal and D. Kenbeek, "Testing, Application, and Future Development of Environmentally Friendly Ester Based Fluids", Journal of Synthetic Lubrication, Vol. 10, Issue No. 1, April 1993, pp. 67-83, which is incorporated herein by reference.

The OECD guideline for testing the "ready biodegradability" of chemicals under the Manometric Respirometer test (OECD 301F, adopted July 17, 1992, and which is incorporated herein by reference) involves the measurement of the amount of O<sub>2</sub> consumed by the microorganisms during the biodegradation of the test compound. It is measured and expressed as a percent of the theoretical O<sub>2</sub> demand (ThOD) it should have consumed calculated from the carbon content of the test compound. Biodegradability is therefore expressed as a percentage of ThOD. The Manometric Respirometer test is run by spiking a chemically defined liquid medium, essentially free of other organic carbon sources, with the test material and inoculated with sewage microorganisms. The oxygen consumed is determined either by measuring the amount of oxygen (produced electrolytically) required to maintain constant gas volume in the respirometer flask, or from the change in volume or pressure (or a combination of the two) in the apparatus. After reference to suitable blank controls, the total amount of oxygen consumed by the microorganisms is determined for the test period and calculated as the percentage of total oxygen demand that the microorganisms would have theoretically required to biodegrade the test compound based on carbon composition. See "OECD Guidelines for the Testing of Chemicals", Vol. 1, OECD 1993.

One basestock in current use today is rapeseed oil (i.e., a triglyceride of fatty acids, e.g., 7 % saturated C<sub>12</sub> to C<sub>18</sub> acids, 50% oleic acid, 36% linoleic acid and 7% linolenic acid), having the following properties: a viscosity at 40°C of 47.8 cSt, a pour point of 0°C, a flash point of 162°C and a biodegradability of 85% by the Modified Sturm test. Although it has very good biodegradability, its use in biodegradable lubricant applications is limited due to its poor low temperature properties and poor stability.

Unless they are sufficiently low in molecular weight, esters synthesized from both linear acids and linear alcohols tend to have poor low temperature properties. Even when synthesized from linear acids and highly branched alcohols, such as polyol esters of linear acids, high viscosity esters with good low temperature properties can be difficult to achieve. In addition, pentaerythritol

esters of linear acids exhibit poor solubility with dispersants such as polyamides, and trimethylolpropane esters of low molecular weight (i.e., having a carbon number less than 14) linear acids do not provide sufficient lubricity. This lower quality of lubricity is also seen with adipate esters of branched alcohols. Since low molecular weight linear esters also have low viscosities, some degree of branching is required to build viscosity while maintaining good cold flow properties. When both the alcohol and acid portions of the ester are highly branched, however, such as with the case of polyol esters of highly branched oxo acids, the resulting molecule tends to exhibit poor biodegradation as measured by the Modified Sturm test (OECD Test No. 301B).

In an article by Randles and Wright, "Environmentally Considerate Ester Lubricants for the Automotive and Engineering Industries", Journal of Synthetic Lubrication, Vol. 9-2, pp. 145-161, it was stated that the main features which slow or reduce microbial breakdown are the extent of branching, which reduces  $\beta$ -oxidation, and the degree to which ester hydrolysis is inhibited. The negative effect on biodegradability due to branching along the carbon chain is further discussed in a book by R.D. Swisher, "Surfactant Biodegradation", Marcel Dekker, Inc., Second Edition, 1987, pp. 415-417. In his book, Swisher stated that "The results clearly showed increased resistance to biodegradation with increased branching... Although the effect of a single methyl branch in an otherwise linear molecule is barely noticeable, increased resistance [to biodegradation] with increased branching is generally observed, and resistance becomes exceptionally great when quaternary branching occurs at all chain ends in the molecule." The negative effect of alkyl branching on biodegradability was also discussed in an article by N.S. Battersby, S.E. Pack, and R.J. Watkinson, "A Correlation Between the Biodegradability of Oil Products in the CEC-L-33-T-82 and Modified Sturm Tests", Chemosphere, 24(12), pp. 1989-2000 (1992).

Initially, the poor biodegradation of branched polyol esters was believed to be a consequence of the branching and, to a lesser extent, to the insolubility of the molecule in water. However, recent work by the present inventors has shown that

the non-biodegradability of these branched esters is more a function of steric hindrance than of the micro-organism's inability to breakdown the tertiary and quaternary carbons. Thus, by relieving the steric hindrance around the ester linkage(s), biodegradation can more readily occur with branched esters.

5           Branched synthetic polyol esters have been used extensively in non-biodegradable applications, such as refrigeration lubricant applications, and have proven to be quite effective if 3,5,5-trimethylhexanoic acid is incorporated into the molecule at 25 molar percent or greater. However, trimethylhexanoic acid is not biodegradable as determined by the Modified Sturm test (OECD 301B), and the  
10           incorporation of 3,5,5-trimethylhexanoic acid, even at 25 molar percent, would drastically lower the biodegradation of the polyol ester due to the quaternary carbons contained therein and the resulting steric hindrance that the branching would cause.

          Likewise, incorporation of trialkyl acetic acids (i.e., neo acids) into a polyol  
15           ester produces very useful refrigeration lubricants. These acids do not, however, biodegrade as determined by the Modified Sturm test (OECD 301B) and cannot be used to produce polyol esters for biodegradable applications. Polyol esters of all branched acids can be used as refrigeration oils as well. However, they do not rapidly biodegrade as determined by the Modified Sturm Test (OECD 301B) and,  
20           therefore, are not desirable for use in biodegradable applications.

          Although polyol esters made from purely linear C<sub>5</sub> and C<sub>10</sub> acids for refrigeration applications would be biodegradable under the Modified Sturm test, they would not work as a lubricant in two-cycle engine applications because their viscosities would be too low and wear additives would be needed. It is extremely  
25           difficult to develop a lubricant basestock which is capable of exhibiting all of the various properties required for biodegradable lubricant applications, i.e., high viscosity, low pour point, oxidative stability and biodegradability as measured by the Modified Sturm test.

          US-A-4826633 (Carr et al.), which issued on May 2, 1989, discloses a  
30           synthetic ester lubricant basestock formed by reacting at least one of

trimethylolpropane and monopentaerythritol with a mixture of aliphatic mono-carboxylic acids. The mixture of acids includes straight-chain acids having from 5 to 10 carbon atoms and an iso-acid having from 6 to 10 carbon atoms, preferably iso-nonanoic acid (i.e., 3,5,5-trimethylhexanoic acid). This basestock is mixed with  
5 a conventional ester lubricant additive package to form a lubricant having a viscosity at 99°C (210°F) of at least 5.0 centistokes and a pour point of at least as low as -54°C (-65°F). This lubricant is particularly useful in gas turbine engines. The Carr et al. patent differs from the present invention for two reasons. Firstly, it preferably uses as its branched acid 3,5,5-trimethylhexanoic acid which contains a  
10 quaternary carbon in every acid molecule. The incorporation of quaternary carbons within the 3,5,5-trimethylhexanoic acid inhibits biodegradation of the polyol ester product. Also, the lubricant according to Carr et al. exhibits high oxidative stability, as measured by a high pressure differential scanning calorimeter (HPDSC), i.e., about 35 to 65 minutes. This high stability is a result of the  
15 quaternary branching which increases the number of primary hydrogens (most stable) and decreases the number of secondary and tertiary hydrogens (less stable). The quaternary branching further increases stability by shielding the molecule (through steric hindrance) from attack by free radicals. However, the quaternary branching also shields the ester linkage making it difficult to impossible for  
20 microorganisms to attack the ester linkage, resulting in poor biodegradation. Conversely, the lubricant according to the present invention is lower in stability, i.e., it has a HPDSC reading of about 12-17 minutes. One reason for the lower stability is the fact that no more than 10% of the branched acids used to form the lubricant's ester basestock contain a quaternary carbon. The absence of quaternary  
25 carbons allows the micro-organisms to first attack the ester linkage and then the carbon-to-carbon bonds of the alcohol and acid moieties and effectively cause the ester to biodegrade.

The present inventors have discovered that blends of natural and synthetic lubricant basestocks with high viscosity complex alcohol esters unexpectedly  
30 provide a lubricating basestock having the following desirable properties:



biodegradability, wide range of viscosities, low acid content, good pour point, excellent lubricity, seal compatibility, and low toxicity.

With the right ratios of polyol to polybasic acid to monohydric alcohol, complex alcohol esters can be produced which have reduced cost (approximately  
5 half the cost of complex acid esters), high viscosity (greater than 100 cSt at 40°C), good thermal and oxidative stability, good biodegradability, low toxicity, good low temperature properties, and excellent lubricity. When blended with lower viscosity oils, a wide range of iso grade products can be produced which meet stringent end-use specifications. The present inventors have discovered that when the amount of  
10 linear monohydric alcohol exceeds 20% of the total alcohol used, then the pour point is too high, e.g., above -30°C. Furthermore, the present inventors have discovered that the ratio of polybasic acid to polyol is critical in the formation of a complex alcohol ester. That is, if this ratio is too low then a complex alcohol ester contains undesirable amounts of heavies which reduces biodegradability and  
15 increases the hydroxyl number of the ester which increases the corrosive nature of the resultant ester which is also undesirable. If, however, the ratio is too high then the resultant complex alcohol ester will have an undesirably low viscosity (reducing its applicability in certain iso grade applications) and poor seal swell characteristics.

The present inventors have also discovered that the ratio of the monohydric  
20 alcohol to polybasic acid is equally critical in the formation of complex alcohol esters. That is, if this ratio is too low then a complex alcohol ester contains undesirable amounts of heavies due to increased cross-linking which reduces biodegradation. It also increases the total acid number of the ester which increases the corrosive nature of the resultant ester and catalyzes the hydrolysis of the ester  
25 in the presence of water, both of which are undesirable. If, however, the ratio is too high, transesterification occurs producing more diester. The resultant complex alcohol ester will have an undesirably low viscosity (reducing its applicability in certain iso grade applications) and poor seal swell characteristics.

Other conventional natural and synthetic esters may each provide one or  
30 more of the desired attributes, e.g., high viscosity, good low temperature

properties, biodegradability, lubricity, seal compatibility, low toxicity, and good thermal and oxidative stability, but none appears to be able to meet all of the product attributes by themselves. For example, some synthetic esters are capable of meeting the high viscosity property, but fail the biodegradability, low  
5 temperature requirements, or low toxicity requirements. Similarly, the natural basestocks such as rapeseed oil are capable of meeting the biodegradability and toxicity properties, but fail to meet the required high viscosity, lubricity, and thermal and oxidative stability properties.

The blended lubricant basestocks according to the present invention  
10 comprise a complex alcohol ester and at least one additional natural, hydrocarbon-based and/or synthetic basestock. These blends appear to satisfy all of the desired attributes for fully formulated two-cycle lubricant basestocks by providing the basestock with a unique level of biodegradability in conjunction with effective lubricating properties. They also provide excellent thermal and oxidative stability,  
15 good low temperature properties (i.e., low pour points), low toxicity, low volatility, and good seal compatibility.

Moreover, the present inventors have demonstrated that an unexpected, synergistic effect occurs when the complex alcohol esters of the present invention are blended with either a natural, hydrocarbon-based and/or synthetic ester  
20 basestock, i.e., the blended basestock unexpectedly exhibits enhanced product attributes versus either the complex alcohol ester or other basestock by itself. Thus, the blended basestocks according to the present invention exhibit the following attributes: excellent lubricity, seal compatibility, biodegradability, low toxicity, good low temperature properties, a wide viscosity range to meet various  
25 iso grade needs, good thermal and oxidative stability, and improved engine performance.

A biodegradable two-cycle lubricant which is prepared from an add mixture of: (1) a biodegradable lubricating oil comprising an add mixture of the following components: a complex alcohol ester basestock which comprises the reaction

product of an add mixture of the following: a polyhydroxyl compound represented by the general formula:



wherein R is any aliphatic or cyclo-aliphatic hydrocarbonyl group and n is at least 2, provided that the hydrocarbonyl group contains from about 2 to 20 carbon atoms; a polybasic acid or an anhydride of a polybasic acid, provided that the ratio of equivalents of the polybasic acid to equivalents of alcohol from the polyhydroxyl compound is in the range between about 1.6:1 to 2:1; and a monohydric alcohol, provided that the ratio of equivalents of the monohydric alcohol to equivalents of the polybasic acid is in the range between about 0.84:1 to 1.2:1; wherein the complex alcohol ester exhibits a viscosity in the range between about 100-700 cSt at 40°C and has a polybasic acid ester concentration of less than or equal to 70 wt.%, based on the complex alcohol ester; and at least one additional basestock, wherein the biodegradable lubricating oil exhibits biodegradability of greater than 60% as measured by the Sturm test; and (2) an additive package, wherein the two-cycle lubricating oil exhibits the following properties: excellent lubricity as determined by engine performance, Yamaha Tightening Tests, reduced valve sticking, or 4-ball wear; good stability as evidenced by the results of such tests as RBOT and HPDSC; and good low temperature performance.

In addition, when the second basestock is selected from the group consisting of: monoesters, diesters, polyol esters or natural esters, the two-cycle engine oil also exhibits (in addition to the above-mentioned properties) unexpected environmental performance as evidenced by tests such as the Modified Sturm Biodegradation test, no VOC's and low toxicity. Because the basestock components make up 75 to 85+% of the total formulations, the present inventors have found that the above tests' results are either completely controlled or significantly influenced by the right choices of basestock components. In addition, the present inventors have found that with varying ratios of two primary components, namely, the polyol ester of technical grade pentaerythritol and 50:50 wt.% ratio of iso-C<sub>8</sub>, n-C<sub>8</sub> and n-C<sub>10</sub> acids and complex alcohol esters, most of the

current specifications can be met without the aid of any additive and that the remaining specifications are so closely approached that only minimal additives are required to meet specifications.

5 The complex alcohol ester according to the present invention is preferably present in an amount between about 3 to 10 wt.%, more preferably between about 6 to 10 wt.%. When the complex alcohol ester is used in conjunction with other synthetic, hydrocarbon-based and/or natural esters, the complex alcohol ester is added primarily for lubricity and its biodegradability, and usually replaces or significantly reduces a metal extreme pressure (EP) wear additive such as one of the ZDDP family or replaces a lubricity additive such as PIB. In addition to engine performance credits, the resulting formulation has lower toxicity, lower costs and/or it can meet new industry specifications such as TC-W3 specifications in the two-cycle engine market. In these cases the complex alcohol ester is added primarily for its lubricity (coefficient of friction equal to or greater than 0.1) and biodegradability (greater than 60% after 28 days as measured by the Modified Sturm test). By using these two classes of components in varying concentrations, along with an additive package in the range of 10-20 wt.%, all properties important to two-cycle engine performance can be obtained, namely, viscosity at -25°C less than 7,500 cps, total acid number less than 0.7 mgKOH/gram, passes engine performance such as Yamaha 2T Tightening test, biodegradability greater than 60% as measured by the Modified Sturm, and low toxicity (i.e., greater than 1,000 ppm). In addition, the over-all additive package can be formulated to minimize environmental impact due to the performance of the basestock blends. The resulting formulations offer equal or superior engine wear performance over existing formulations and little or no environmental impact.

25 When the lubricating basestock oil is comprised of a complex alcohol ester with a viscosity greater than 100 cSt at 40°C and a hydrocarbon such as mineral oil, PAO, PIB, etc., then the basestock blend preferably exhibits sufficient lubricity to eliminate or significantly reduce the need for toxic extreme pressure wear additives such as ZDDP and other metal containing materials. When the

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lubricating oil is comprised of a complex alcohol ester with a viscosity greater than 100 cSt at 40°C and a natural, hydrocarbon-based or synthetic ester, then the basestock blend preferably exhibits at least one of the properties selected from the group consisting of: (a) excellent lubricity as evidenced by the elimination or  
5 reduction of toxic extreme pressure wear additives; (b) good stability as evidenced by tests such as RBOT and HPDSC stability test; (c) good low temperature properties as evidenced by pour points less than -30°C and -25°C Brookfield viscosities of less than 8500 cps; (d) biodegradability of greater than 60% in 28 days as measured by the Sturm test; (e) low toxicity (greater than 1,000 ppm); (f)  
10 good seal compatibility; and (g) high flash point (greater than 200°C) to reduce volatile organic components (VOC's).

The present invention also encompasses a hydrocarbon fuel which comprises an add mixture of: (1) a mogas (i.e., motor gasoline); (2) the  
aforementioned biodegradable lubricating oil; and (3) an additive package.

15 High viscosity complex alcohol esters provide a unique level of biodegradability in conjunction with effective lubricating properties even at low concentrations (i.e., less than 5 wt.%), especially designed for two-cycle engine applications. If the total acid number (TAN) and the di-ester content are low (i.e., less than 0.7 mgKOH/gram and less than 45 wt.%, respectively), and the  
20 esterification catalyst is effectively removed to a level of less than 25 ppm, high viscosity complex alcohol esters also provided excellent stability, good seal compatibility, and low toxicity. The present inventors have discovered that these unique high viscosity, low metals/low acid complex alcohol esters, when blended with other natural, hydrocarbon-based and/or synthetic basestocks, result in  
25 lubricant basestocks which exhibit biodegradability, as measured by the Modified Sturm test, and enhanced engine wear performance greater than expected based on the measured performance of either basestock alone.

The preferred lubricant according to the present invention is a blend of the described complex alcohol ester composition and at least one additional basestock  
30 selected from the group consisting of: mineral oils, highly refined mineral oils, poly

alpha olefins (PAO), polyalkylene glycols (PAG), polyisobutylene (PIB), phosphate esters, silicone oils, diesters, polyol esters, and natural esters; and a lubricant additive package. Blended biodegradable two-cycle lubricants according to the present invention preferably include 3 to 10 wt.% complex alcohol ester and 90 to 97 wt.% of a second basestock selected from natural oils and synthetic esters, especially biodegradable esters.

When the complex alcohol ester basestock is added in an amount such that the biodegradable lubricating oil exhibits a lubricity, as measured by the coefficient of friction, of less than or equal to 0.15. Moreover, the biodegradable lubricating oil passes the Yamaha Tightening Test, exhibits a FZG of greater than about 12, and/or exhibits a wear scar diameter of less than or equal to 0.45 millimeters.

The additional basestock is preferably selected from (a) mineral oils which are at least one oil selected from the group consisting of: rapeseed oils, canola oils and sunflower oils; (b) hydrocarbon-based oils which are at least one oil selected from the group consisting of: mineral oils and highly refined mineral oils; and (c) synthetic oils which are at least one oil selected from the group consisting of: poly alpha olefins, polyalkylene glycols, polyisobutylenes, phosphate esters, silicone oils, diesters, polyol esters, and other synthetic esters.

The complex alcohol ester is present in an amount between about 3-10 wt.%, preferably between 6 to 10 wt.%, and the additional basestock is present in an amount between about 90 to 97 wt.%, preferably between 90 to 94 wt.%.

### **COMPLEX ALCOHOL ESTERS**

One preferred complex alcohol ester according to the present invention the reaction product of an add mixture of the following: a polyhydroxyl compound represented by the general formula:



wherein R is any aliphatic or cyclo-aliphatic hydrocarbyl group and n is at least 2, provided that the hydrocarbyl group contains from about 2 to 20 carbon atoms; a polybasic acid or an anhydride of a polybasic acid, provided that the ratio of equivalents of the polybasic acid to equivalents of alcohol from the polyhydroxyl

compound is in the range between about 1.6:1 to 2:1; and a monohydric alcohol, provided that the ratio of equivalents of the monohydric alcohol to equivalents of the polybasic acid is in the range between about 0.84:1 to 1.2:1; wherein the complex alcohol ester exhibits a pour point of less than or equal to -30°C, a  
5 viscosity in the range between about 100-700 cSt at 40°C, preferably 100-200 cSt, and having a polybasic acid ester concentration of less than or equal to 70 wt.%, based on the complex alcohol ester.

The present inventors have unexpectedly discovered that if the ratio of polybasic acid to polyol (i.e., polyhydroxyl compound) is too low, then an  
10 unacceptable amount of cross-linking occurs which results in very high viscosities, poor low temperature properties, poor biodegradability, and poor compatibility with other basestocks and with additives. If, however, the ratio of polybasic acid to polyol is too high, then an unacceptable amount of polybasic acid ester (e.g., adipate di-ester) is formed resulting in poor seal compatibility and low viscosity  
15 which limits the complex alcohol ester's applicability.

The present inventors have also discovered that if the ratio of monohydric alcohol to polybasic acid is too low, i.e., less than 0.96 to 1, then an unacceptably high acid number, sludge concentration, deposits, and corrosion occur. If, however, the ratio of monohydric alcohol to polybasic acid is too high (i.e., 1.2 to  
20 1), then an unacceptable amount of polybasic acid ester is formed resulting in poor seal compatibility and low viscosity which limits the complex alcohol ester's applicability.

Moreover, the complex alcohol ester according to the present invention exhibits the following properties: lubricity, as measured by the coefficient of  
25 friction, of less than or equal to 0.1; a pour point of less than or equal to -30°C, preferably -40°C; biodegradability of greater than 60%, as measured by the Sturm test (e.g., Modified Sturm test); an aquatic toxicity of greater than 1,000 ppm; no volatile organic components; and thermal/oxidative stability as measured by HPDSC at 220°C and 3.445 MPa air of greater than 10 minutes with 0.5 wt.% of  
30 an antioxidant.

When the polyhydroxyl compound is at least one compound selected from the group consisting of: technical grade pentaerythritol and mono-pentaerythritol, then the ratio of equivalents of the polybasic acid to equivalents of alcohol from the polyhydroxyl compound is in the range between about 1.75:1 to 2:1.

5           When the polyhydroxyl compound is at least one compound selected from the group consisting of: trimethylolpropane, trimethylolethane and trimethylolbutane, then the ratio of equivalents of the polybasic acid to equivalents of alcohol from the polyhydroxyl compound is in the range between about 1.6:1 to 2:1.

10           When the polyhydroxyl compound is di-pentaerythritol, then the ratio of equivalents of the polybasic acid to equivalents of alcohol from the polyhydroxyl compound is in the range between about 1.83:1 to 2:1.

          The monohydric alcohol may be at least one alcohol selected from the group consisting of: branched and linear C<sub>5</sub> to C<sub>13</sub> alcohol. The linear monohydric  
15           alcohol is preferably present in an amount between about 0 to 30 mole%, more preferably between about 5 to 20 mole%.

          In a preferred embodiment, the monohydric alcohol is at least one alcohol selected from the group consisting of: C<sub>8</sub> to C<sub>10</sub> iso-oxo alcohols. Accordingly, one highly preferred complex alcohol ester is formed from the reaction product of  
20           the admixture of trimethylolpropane, adipic acid and either isodecyl alcohol or 2-ethylhexanol.

          The unique complex alcohol esters according to the present invention preferably exhibit at least one of the properties selected from the group consisting of: (a) a total acid number of less than or equal to about 1.0 mgKOH/gram, (b) a  
25           hydroxyl number in the range between about 0 to 50 mgKOH/gram, (c) a metal catalyst content of less than about 25 ppm, (d) a molecular weight in the range between about 275 to 250,000 Daltons, (e) a seal swell equal to about diisotridecyladipate, (f) a viscosity at -25°C of less than or equal to about 100,000 cps, (g) a flash point of greater than about 200°C, (h) aquatic toxicity of greater



than about 1,000 ppm, (i) a specific gravity of less than about 1.0, and (j) a viscosity index equal to or greater than about 150.

It is particularly desirable to be able to control the stoichiometry in such a way so as to be able to manufacture the same product each time. Further, one  
5 wants to obtain acceptable reaction rates and to obtain high conversion with low final acidity and low final metals content. The present inventors have synthesized a composition and a method of production of that composition which provides a high viscosity oil having good low temperature properties, low metals, low acidity, high viscosity index, and acceptable rates of biodegradability as measured by the  
10 Modified Sturm test.

Of particular interest is the use of certain oxo-alcohols as finishing alcohols in the process of production of the desired materials. Oxo alcohols are manufactured via a process, whereby propylene and other olefins are oligomerized over a catalyst (e.g., a phosphoric acid on Kieselguhr clay) and then distilled to  
15 achieve various unsaturated (olefinic) streams largely comprising a single carbon number. These streams are then reacted under hydroformylation conditions using a cobalt carbonyl catalyst with synthesis gas (carbon monoxide and hydrogen) so as to produce a multi-isomer mix of aldehydes/alcohols. The mix of aldehydes/alcohols is then introduced to a hydrogenation reactor and hydrogenated  
20 to a mixture of branched alcohols comprising mostly alcohols of one carbon greater than the number of carbons in the feed olefin stream.

One particularly preferred oxo-alcohol is isodecyl alcohol, prepared from the corresponding C<sub>9</sub> olefin. When the alcohol is isodecyl alcohol, the polyol is trimethylolpropane and the acid is the C<sub>6</sub> diacid, e.g. adipic acid, a preferred  
25 complex alcohol ester is attained. The present inventors have surprisingly discovered that this complex alcohol ester, wherein the alcohol is a branched oxo-alcohol has a surprisingly high viscosity index of ca. 150 and is surprisingly biodegradable as defined by the Modified Sturm test. This complex alcohol ester can be prepared with a final acidity (TAN) of less than 0.7 mg KOH/gram and with  
30 a conversion of the adipic acid of greater than 99%. In order to achieve such a

high conversion of adipic acid, a catalyst is required, and further, it is preferable to add the catalyst within a relatively narrow conversion window. Alternatively, the present inventors have discovered that the catalyst can also be added at anytime during the reaction product and removed to an amount of less than 25 ppm and still obtain a final acidity (TAN) of less than 0.7 mg KOH/gram, so long as the esterification reaction is followed by a hydrolysis step wherein water is added in an amount of between about 0.5 to 4 wt.%, based on crude esterification product, more preferably between about 2 to 3 wt. %, at elevated temperatures of between about 100 to 200°C, more preferably between about 125 to 175°C, and most preferably between about 140 to 160°C, and pressures greater than one atmosphere. Such high temperature hydrolysis can successfully remove the catalyst metals to less than 25 ppm without increasing the TAN to greater than 0.7 mgKOH/gram. The low metals and low acid levels achieved by use of this novel high temperature hydrolysis step is completely unexpected.

The present inventors have discovered that the actual product is a broad mix of molecular weights of esters and that, if so desired, an amount of diisodecyl adipate can be removed from the higher molecular weight ester via wipe film evaporation or other separation techniques if desired.

The present inventors have also discovered that highly stable complex alcohol esters can be produced that are resistant to viscosity increases during heating. This is accomplished by synthesizing complex alcohol esters with a low hydroxyl number by limiting the ratio of polybasic acid, polyol and monohydric alcohol. These highly stable complex alcohol esters exhibit no increase in viscosity when heated to temperatures above 200°C, while similar esters with high hydroxyl numbers increase in viscosity from 5 to 10% under similar conditions.

### **MONOHYDRIC ALCOHOLS**

Among the alcohols which can be reacted with the diacid and polyol are, by way of example, any C<sub>5</sub> to C<sub>13</sub> branched and/or linear monohydric alcohol selected from the group consisting of: isopentyl alcohol, n-pentyl alcohol, isohexyl alcohol, n-hexyl alcohol, isoheptyl alcohol, n-heptyl alcohol, iso-octyl alcohol (e.g., 2-ethyl

hexanol or iso-octyl alcohol), n-octyl alcohol, iso-nonyl alcohol, n-nonyl alcohol, isodecyl alcohol, and n-decyl alcohol; provided that the amount of linear monohydric alcohol is present in the range between about 0-20 mole %, based on the total amount of monohydric alcohol.

5           One preferred class of monohydric alcohol is oxo alcohol. Oxo alcohols are manufactured via a process, whereby propylene and other olefins are oligomerized over a catalyst (e.g., a phosphoric acid on Kieselguhr clay) and then distilled to achieve various unsaturated (olefinic) streams largely comprising a single carbon number. These streams are then reacted under hydroformylation  
10           conditions using a cobalt carbonyl catalyst with synthesis gas (carbon monoxide and hydrogen) so as to produce a multi-isomer mix of aldehydes/alcohols. The mix of aldehydes/alcohols is then introduced to a hydrogenation reactor and hydrogenated to a mixture of branched alcohols comprising mostly alcohols of one carbon greater than the number of carbons in the feed olefin stream.

15           The branched oxo alcohols are preferably monohydric oxo alcohols which have a carbon number in the range between about  $C_5$  to  $C_{13}$ . The most preferred monohydric oxo alcohols according to the present invention include iso-octyl alcohol, e.g., Exxal™ 8 alcohol, formed from the cobalt oxo process and 2-ethylhexanol which is formed from the rhodium oxo process.

20           The term "iso" is meant to convey a multiple isomer product made by the oxo process. It is desirable to have a branched oxo alcohol comprising multiple isomers, preferably more than 3 isomers, most preferably more than 5 isomers.

          Branched oxo alcohols may be produced in the so-called "oxo" process by hydroformylation of commercial branched  $C_4$  to  $C_{12}$  olefin fractions to a  
25           corresponding branched  $C_5$  to  $C_{13}$  alcohol/aldehyde-containing oxonation product. In the process for forming oxo alcohols it is desirable to form an alcohol/aldehyde intermediate from the oxonation product followed by conversion of the crude oxo alcohol/aldehyde product to an all oxo alcohol product.

The production of branched oxo alcohols from the cobalt catalyzed hydroformylation of an olefinic feedstream preferably comprises the following steps:

5 (a) hydroformylating an olefinic feedstream by reaction with carbon monoxide and hydrogen (i.e., synthesis gas) in the presence of a hydroformylation catalyst under reaction conditions that promote the formation of an alcohol/aldehyde-rich crude reaction product;

10 (b) demetalling the alcohol/aldehyde-rich crude reaction product to recover therefrom the hydroformylation catalyst and a substantially catalyst-free, alcohol/aldehyde-rich crude reaction product; and

(c) hydrogenating the alcohol/aldehyde-rich crude reaction product in the presence of a hydrogenation catalyst (e.g., massive nickel catalyst) to produce an alcohol-rich reaction product.

15 The olefinic feedstream is preferably any C<sub>4</sub> to C<sub>12</sub> olefin, more preferably branched C<sub>7</sub> to C<sub>9</sub> olefins. Moreover, the olefinic feedstream is preferably a branched olefin, although a linear olefin which is capable of producing all branched oxo alcohols is also contemplated herein. The hydroformylation and subsequent hydrogenation in the presence of an alcohol-forming catalyst, is capable of producing branched C<sub>5</sub> to C<sub>13</sub> alcohols, more preferably branched C<sub>8</sub> alcohol (i.e., Exxal™ 8), branched C<sub>9</sub> alcohol (i.e., Exxal™ 9), and isodecyl alcohol. Each of the  
20 branched oxo C<sub>5</sub> to C<sub>13</sub> alcohols formed by the oxo process typically comprises, for example, a mixture of branched oxo alcohol isomers, e.g., Exxal™ 8 alcohol comprises a mixture of 3,5-dimethyl hexanol, 4,5-dimethyl hexanol, 3,4-dimethyl hexanol, 5-methyl heptanol, 4-methyl heptanol and a mixture of other methyl  
25 heptanols and dimethyl hexanols.

Any type of catalyst known to one of ordinary skill in the art which is capable of converting oxo aldehydes to oxo alcohols is contemplated by the present invention.

30 It is preferable that the linear monohydric alcohol be present in an amount between about 0 to 30 mole%, preferably between about 5 to 20 mole%.

### POLYOLS

Among the polyols (i.e., polyhydroxyl compounds) which can be reacted with the diacid and monohydric alcohol are those represented by the general formula:



wherein R is any aliphatic or cyclo-aliphatic hydrocarbyl group (preferably an alkyl) and n is at least 2. The hydrocarbyl group may contain from about 2 to about 20 or more carbon atoms, and the hydrocarbyl group may also contain substituents such as chlorine, nitrogen and/or oxygen atoms. The polyhydroxyl compounds generally may contain one or more oxyalkylene groups and, thus, the polyhydroxyl compounds include compounds such as polyetherpolyols. The number of carbon atoms (i.e., carbon number, wherein the term carbon number as used throughout this application refers to the total number of carbon atoms in either the acid or alcohol as the case may be) and number of hydroxy groups (i.e., hydroxyl number) contained in the polyhydroxyl compound used to form the carboxylic esters may vary over a wide range.

The following alcohols are particularly useful as polyols: neopentyl glycol, trimethylolethane, trimethylolpropane, trimethylolbutane, mono-pentaerythritol, technical grade pentaerythritol, and di-pentaerythritol. The most preferred alcohols are technical grade (e.g., approximately 88% mono-, 10% di- and 1-2% tri-pentaerythritol) pentaerythritol, monopentaerythritol, di-pentaerythritol, and trimethylolpropane.

### POLYBASIC ACIDS

Selected polybasic or polycarboxylic acids include any C<sub>2</sub> to C<sub>12</sub> diacids, e.g., adipic, azelaic, sebacic and dodecanedioic acids.

### ANHYDRIDES

Anhydrides of polybasic acids can be used in place of the polybasic acids, when esters are being formed. These include succinic anhydride, glutaric anhydride, adipic anhydride, maleic anhydride, phthalic anhydride, nadic anhydride,

methyl nadic anhydride, hexahydrophthalic anhydride, and mixed anhydrides of polybasic acids.

The lubricating oils contemplated for use with the complex alcohol ester compositions of the present invention include natural oils, synthetic oils and hydrocarbon-based oils of lubricating viscosity and mixtures thereof. The synthetic oils include long chain alkanes such as cetanes and olefin polymers such as oligomers of hexene, octene, decene, and dodecene, etc. The other synthetic oils include (1) fully esterified ester oils, with no free hydroxyls, such as pentaerythritol esters of monocarboxylic acids having 2 to 20 carbon atoms, trimethylol propane esters of monocarboxylic acids having 2 to 20 carbon atoms, (2) polyacetals and (3) siloxane fluids. Especially useful among the synthetic esters are those made from polycarboxylic acids and monohydric alcohols.

In some of the lubricant formulations set forth above a solvent may be employed depending upon the specific application. Solvents that can be used include the hydrocarbon solvents, such as toluene, benzene, xylene, and the like.

The formulated lubricant according to the present invention preferably comprises about 60-99% by weight of a blend of complex alcohol ester and at least one additional basestock selected from natural, hydrocarbon-based and synthetic oils, about 1 to 20% by weight lubricant additive package, and about 0 to 20% by weight of a solvent.

### **TWO-CYCLE ENGINE OILS**

The basestock blends can be used in the formulation of two-cycle engine oils. The preferred two-cycle engine oil is typically formulated using the basestock blend formed according to the present invention together with any conventional two-cycle engine oil additive package. The additives listed below are typically used in such amounts so as to provide their normal attendant functions.

The additive package may include, but is not limited to, viscosity index improvers, corrosion inhibitors, oxidation inhibitors, coupling agents, dispersants, extreme pressure agents, color stabilizers, surfactants, diluents, detergents and rust inhibitors, pour point depressants, antifoaming agents, and anti-wear agents.

The preferred two-cycle engine oil according to the present invention can employ typically about 75 to 85% basestock blend, about 1 to 5% solvent, with the remainder comprising an additive package.

Examples of the above additives for use in lubricants are set forth in the following documents which are incorporated herein by reference: US-A-4663063 (Davis), which issued on May 5, 1987; US-A-5330667 (Tiffany, III et al.), which issued on July 19, 1994; US-A-4740321 (Davis et al.), which issued on April 26, 1988; US-A-5321172 (Alexander et al.), which issued on June 14, 1994; and US-A-5049291 (Miyaji et al.), which issued on September 17, 1991.

One such biodegradable two-cycle engine oil comprises: (a) a blended lubricating oil basestock which comprises: (1) between about 3-10 wt.% of a complex alcohol ester which comprises the reaction product of: a polyhydroxyl compound represented by the general formula:



wherein R is any aliphatic or cyclo-aliphatic hydrocarbyl group and n is at least 2, provided that the hydrocarbyl group contains from about 2 to 20 carbon atoms; a polybasic acid or an anhydride of a polybasic acid, and a branched and/or linear monohydric alcohol, provided that the alcohol is added in an amount which is less than 20% excess and wherein the concentration of the polybasic acid ester is less than or equal to 70 wt.%, based on the complex alcohol ester; and (2) between about 90-97 wt.% of at least one additional basestock, wherein the lubricating basestock oil exhibits the following properties: lubricity, as measured by the coefficient of friction, of less than or equal to 0.1; a pour point of less than or equal to -30°C; biodegradability of greater than 60% in 28 days as measured by the Modified Sturm test; an aquatic toxicity of greater than 1,000 ppm; no volatile organic components; and thermal/oxidative stability as measured by HPDSC at 220°C and 3.445 MPa air of greater than 10 minutes in the presence of 0.5 wt.% of an antioxidant; and (b) a lubricant additive package preferably comprising at least one additive selected from the group consisting of: viscosity index improvers, corrosion inhibitors, oxidation inhibitors, coupling agents, dispersants, extreme

pressure agents, color stabilizers, surfactants, diluents, detergents and rust inhibitors, pour point depressants, antifoaming agents, and antiwear agents.

One preferred additional basestock is a biodegradable synthetic ester basestock which comprises the reaction product of: a branched or linear alcohol  
5 having the general formula  $R(OH)_n$ , wherein R is an aliphatic or cyclo-aliphatic group having from about 2 to 20 carbon atoms and n is at least 2; and mixed acids comprising about 30 to 80 molar %, preferably 35 to 55 molar %, of a linear acid having a carbon number in the range between about  $C_5$  to  $C_{12}$ , preferably between about  $C_7$  to  $C_{10}$ , and about 20 to 70 molar %, preferably 35 to 55 molar %, of at  
10 least one branched acid having a carbon number in the range between about  $C_5$  to  $C_{13}$ ; wherein the ester basestock exhibits the following properties: at least 60% biodegradation in 28 days as measured by the Modified Sturm test; a pour point of less than  $-25^\circ\text{C}$ ; a viscosity of less than 7500 cps at  $-25^\circ\text{C}$ ; and oxidative stability of up to 10 minutes as measured by HPDSC.

15 The biodegradable synthetic ester basestock preferably comprises multiple isomers, i.e., at least 3 isomer or more, preferably greater than 3 to 5 isomers. The branched acid is predominantly a doubly branched or an alpha branched acid having an average branching per molecule in the range between about 0.3 to 1.9. Moreover, the branched acid is at least one acid selected from the group consisting  
20 of: 2-ethylhexanoic acids, isoheptanoic acids, isooctanoic acids, isononanoic acids, and isodecanoic acids.

Another preferred biodegradable two-cycle lubricant oil comprises: 0-10 wt.% of diisooctyladipate (DIOA), 5-15 wt.% diisodecyladipate (DIDA), 10-20 wt.% diisotridecyladipate (DTDA), 40-60 wt.% of a biodegradable synthetic ester  
25 basestock which comprises the reaction product of: a branched or linear alcohol having the general formula  $R(OH)_n$ , wherein R is an aliphatic or cyclo-aliphatic group having from about 2 to 20 carbon atoms and n is at least 2; and mixed acids comprising about 30 to 80 molar % of a linear acid having a carbon number in the range between about  $C_5$  to  $C_{12}$ , and about 20 to 70 molar % of at least one  
30 branched acid having a carbon number in the range between about  $C_5$  to  $C_{13}$ , 5-15



wt.% of the complex alcohol ester according to the present invention, and 14-20 wt.% of a dispersant. This formulation exhibits a pour point less than about -35°C, a viscosity at -25°C less than 7500 cps, a flash point of greater than about 200°C, a biodegradation greater than 60% in 28 days as measured by the Modified Sturm test, an aquatic toxicity of greater than 1,000 ppm, and pass the Yamaha Tightening Test for lubricity.

A preferred dispersant for two-cycle oil formulations comprises a major amount of at least one oil of lubricating viscosity and a minor amount of a functionalized and derivatized hydrocarbon; wherein functionalization comprises at least one group of the formula  $-\text{CO}-\text{Y}-\text{R}^3$  wherein Y is O or S;  $\text{R}^3$  is aryl, substituted hydrocarbyl, and  $-\text{Y}-\text{R}^3$  has a pKa of 12 or less; wherein at least 50 mole % of the functional groups are attached to a tertiary carbon atom; and wherein said functionalized hydrocarbon is derivatized by a nucleophilic reactant. The nucleophilic reactant is selected from the group consisting of alcohols and amines.

### EXAMPLE 1

Complex alcohol esters were made using both trimethylolpropane and technical grade pentaerythritol as the polyol, adipic acid as the polybasic acid and various  $\text{C}_7$ - $\text{C}_{13}$  monohydric alcohols, both linear and branched. During the reaction, the adipate di-ester was also formed. Some of these materials were wipefilmed to remove the adipate di-ester and some were not. The products were submitted for various tests.

One particularly surprising result was in regard to seal swell. Diisodecyladipate (DIDA) has been found to be particularly harsh on some seals. Samples containing as much as 40% DIDA demonstrated the same seal swell as samples of diisotridecyladipate (DTDA), which is used as a commercial lubricant today because of its low seal swell.

### EXAMPLE 2

Table 1 below compares a variety of complex alcohol esters versus a conventional branched ester to demonstrate the increased biodegradability and

thermal and oxidative stability of the complex alcohol esters according to the present invention.

**Table 1**

Ester	Pour Point (°C)	Viscosity at		100°C (cSt)	Viscosity Index	HPDSC	
		-25°C (cps)	40°C (cSt)			OIT*** (min.)	Biodegradability (%)
TMP/AA/IDA	-	-	165.7	21.31	152	-	67.23
TMP/AA/n-C7*	-33	43500	155.6	18.22	131	-	80.88
TPE/AA/IHA	-	-	160.8	24.35	184	58.83	84.83
TMP/iso-C <sub>18</sub>	-20	358000	78.34	11.94	147	4.29	63.32
TMP/AA/n-C7**-14		solid	27.07	5.77	163	-	78.84

\*\* Complex alcohol ester made without stripping of the adipate

\*\* This is a partial ester of TMP, adipic acid and a n-C7 acid wherein the adipate diester has been stripped out

\*\*\* OIT denotes oxidation induction time (minutes until decomposition)

HPDSC denotes high pressure differential calorimetry

TMP is trimethylolpropane

AA is adipic acid

IDA is isodecyl alcohol

IHA is isohexyl alcohol

TPE is technical grade pentaerythritol

iso-C<sub>18</sub> is isostearate

The branched acid ester and the complex alcohol ester formed without stripping exhibited undesirable pour points, i.e., -20 and -14°C, respectively, and undesirable viscosities at -25°C, i.e., 358,000 cps and a solid product, respectively.

### **EXAMPLE 3**

Set forth below in Table 2 are various samples where the complex alcohol esters of the present invention were blended with various other polyol esters and then run through a Yamaha 2T test.

**Table 2**

(Lubricity Data)

Ester Blend	Blend Ratio	Reference	Sample
TPE/C810/Ck8:TMP/7810	1:1	6.00	5.92

TMP/AA/IDA:TMP/1770                      2:3                      5.54                      5.18

C810 is a mixture of linear C<sub>8</sub> and C<sub>10</sub> acids.

Ck8 is an iso-octyl alcohol form from the cobalt oxo process.

7810 is a mixture of n-C<sub>7</sub>, n-C<sub>8</sub>, and nC<sub>10</sub> acids..

5      1770 is a 70:30 mixture of n-C<sub>7</sub> and  $\alpha$ -branched C<sub>7</sub>, respectively.

#### **EXAMPLE 4**

The samples set forth below in Table 3 demonstrate that complex alcohol esters can exhibit good biodegradability, especially complex alcohol esters blended with other basestocks.

10

**Table 3**

Ester/ Ester Blend	Viscosity @40°C	Percent Biodegradable (Modified Sturm)
TMP/AA/IDA	56.89	65.21
TMP/AA/IDA:TMP/1770	25.26	77.40
15      TMP/AA/IDA:TMP/1770 + DI	43.36	68.90
TMP/AA/n-C7 alcohol	27.07	78.84
TMP/AA/n-C7 alcohol (bottoms)	155.60	80.88
TMP/AA/INA	115.00	60.26
TMP/AA/INA	137.30	57.81

20

1770 denotes a 70:30 mixture of n-C<sub>7</sub> and  $\alpha$ -branched C<sub>7</sub>, respectively .

DI denotes dispersant additive package.

INA denotes isononyl alcohol.

#### **EXAMPLE 5**

25      Set forth below in Table 4 are various blends of two-cycle engine oils and their respective percent biodegradation and the Yamaha Two-Cycle Tightening Test.

**Table 4**

Blended Sample	% Biodegradation	Yamaha 2T Tightening Test
30      TMP/AA/IDA	65	N/A - no dispersant

	TMP/1770	76	N/A - no dispersant
	TMP/AA/IDA:TMP/1770 (60:40)	77	N/A - no dispersant
5	TMP/AA/IDA + Adpack	55 (est.)	N/A - too thick
	TMP/1770 + Adpack	65 (est.)	Fail
	TMP/AA/IDA:TMP/1770 + Adpack	69	Pass
10	TMP/AA/IDA + Adpack	55 (est.)	N/A - too thick
	TPE/C810/Ck8 + Adpack	62	Fail
	DTDA + Adpack	51 (est.)	Fail
	TMP/AA/IDA:TPE/C810/Ck8:DTDA + Adpack	60.3	Pass

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C810 is a mixture of linear C<sub>8</sub> and C<sub>10</sub> acids.

Ck8 is an iso-octyl alcohol form from the cobalt oxo process.

15 1770 is a 70:30 mixture of n-C<sub>7</sub> and  $\alpha$ -branched C<sub>7</sub>, respectively.

TMP is trimethylolpropane

AA is adipic acid

IDA is isodecyl alcohol

DTDA is diisotridecyladipate

20 TPE is technical grade pentacrythritol

As is clearly demonstrated in the above comparative data, the synergistic blends of TMP/AA/IDA (i.e., a complex alcohol ester) and a partially branched TMP/1770 ester in a ratio of 60:40 easily met a biodegradability level of greater than 60% in 28 days as measured by the Modified Sturm test. Furthermore, when

25 a conventional two-cycle additive package (Adpack) was added to this blend it readily passed the Yamaha 2T Tightening Test.

**CLAIMS:****We Claim:**

1. A biodegradable lubricating oil comprising an add mixture of the following components:

5 (A) a complex alcohol ester basestock which comprises the reaction product of an add mixture of the following: (1) a polyhydroxyl compound represented by the general formula:



wherein R is any aliphatic or cyclo-aliphatic hydrocarbyl group and n is at least 2, provided that said hydrocarbyl group contains from about 2 to 20 carbon atoms; 10 (2) a polybasic acid or an anhydride of a polybasic acid, provided that the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.6:1 to 2:1; and (3) a monohydric alcohol, provided that the ratio of equivalents of said monohydric alcohol to 15 equivalents of said polybasic acid is in the range between about 0.84:1 to 1.2:1; wherein said complex alcohol ester exhibits a viscosity in the range between about 100-700 cSt at 40°C and has a polybasic acid ester concentration of less than or equal to 70 wt.%, based on said complex alcohol ester; and

20 (B) at least one additional basestock, wherein said biodegradable lubricating oil exhibits biodegradability of greater than 60% as measured by the Sturm test.

2. The biodegradable lubricating oil according to claim 1 wherein said complex alcohol ester basestock is added in an amount such that said 25 biodegradable lubricating oil exhibits a lubricity, as measured by the coefficient of friction, of less than or equal to 0.15.

3. The biodegradable lubricating oil according to claim 1 wherein said complex alcohol ester exhibits the following properties: lubricity, as measured by the coefficient of friction, of less than or equal to 0.1; a pour point of less than or equal to -30°C; no volatile organic components; and thermal/oxidative stability as measured by HPDSC at 220°C and 3.445 MPa air of greater than 10 minutes with 0.5 wt.% of an antioxidant.

4. The biodegradable lubricating oil according to claim 1 wherein said biodegradable lubricating oil passes the Yamaha Tightening Test, exhibits a FZG of greater than about 12, and/or exhibits a wear scar diameter of less than or equal to 0.45 millimeters.

5. The biodegradable lubricating oil according to claim 1 wherein said additional basestock is selected from the group consisting of: natural oils, hydrocarbon-based oils and synthetic oils.

6. The biodegradable lubricating oil according to claim 5 wherein said mineral oils are at least one oil selected from the group consisting of: rapeseed oils, canola oils and sunflower oils; said hydrocarbon-based oils are at least one oil selected from the group consisting of: mineral oils and highly refined mineral oils; and said synthetic oils are at least one oil selected from the group consisting of: poly alpha olefins, polyalkylene glycols, polyisobutylenes, phosphate esters, silicone oils, diesters, polyol esters, and other synthetic esters.

7. The biodegradable lubricating oil according to claim 5 wherein said complex alcohol ester is present in an amount between about 3-10 wt.% and said additional basestock is present in an amount between about 90-97 wt.%.

8. The biodegradable lubricating oil basestock according to claim 7 wherein said complex alcohol ester is present in an amount between about 6 to 10 wt.% and said additional basestock is present in an amount between about 90 to 94 wt.%.

5

9. The biodegradable lubricating oil according to claim 3 wherein said complex alcohol ester has a pour point of less than or equal to  $-40^{\circ}\text{C}$ .

10. The biodegradable lubricating oil according to claim 1 wherein said polyhydroxyl compound is at least one compound selected from the group consisting of: technical grade pentaerythritol and mono-pentaerythritol, and the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.75:1 to 2:1.

15 11. The biodegradable lubricating oil according to claim 1 wherein said polyhydroxyl compound is at least one compound selected from the group consisting of: trimethylolpropane, trimethylolethane and trimethylolbutane, and the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.6:1 to 2:1.

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12. The biodegradable lubricating oil according to claim 1 wherein said polyhydroxyl compound is di-pentaerythritol and the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.83:1 to 2:1.

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13. The biodegradable lubricating oil according to claim 1 wherein viscosity of said complex alcohol ester is in the range between about 100-200 at  $40^{\circ}\text{C}$ .

14. The biodegradable lubricating oil according to claim 1 wherein said monohydric alcohol may be at least one alcohol selected from the group consisting of: branched and linear C<sub>5</sub> to C<sub>13</sub> alcohol.

5 15. The biodegradable lubricating oil according to claim 14 wherein said linear monohydric alcohol is present in an amount between about 0 to 30 mole%.

10 16. The biodegradable lubricating oil according to claim 15 wherein said linear monohydric alcohol is present in an amount between about 5 to 20 mole%.

15 17. The biodegradable lubricating oil according to claim 14 wherein said monohydric alcohol is at least one alcohol selected from the group consisting of: C<sub>8</sub> to C<sub>10</sub> iso-oxo alcohols.

20 18. The biodegradable lubricating oil according to claim 17 wherein said polybasic acid is adipic acid and said monohydric alcohol is either isodecyl alcohol or 2-ethylhexanol.



19. The biodegradable lubricating oil according to claim 1 wherein said complex alcohol ester exhibits at least one of the properties selected from the group consisting of:

- 5 (a) a total acid number of less than or equal to about 1.0 mgKOH/gram,
- (b) a hydroxyl number in the range between about 0 to 50 mgKOH/gram,
- (c) a metal catalyst content of less than about 25 ppm,
- (d) a molecular weight in the range between about 275 to  
10 250,000 Daltons,
- (e) a seal swell equal to about diisotridecyladipate,
- (f) a viscosity at -25°C of less than or equal to about 100,000 cps,
- (g) a flash point of greater than about 200°C,
- 15 (h) aquatic toxicity of greater than about 1,000 ppm,
- (i) a specific gravity of less than about 1.0, and
- (j) a viscosity index equal to or greater than about 150.

20. The biodegradable lubricating oil according to claim 5 wherein said  
20 synthetic oil is a biodegradable synthetic ester basestock which comprises the reaction product of: a branched or linear alcohol having the general formula  $R(OH)_n$ , wherein R is an aliphatic or cyclo-aliphatic group having from about 2 to 20 carbon atoms and n is at least 2; and mixed acids comprising about 30 to 80 molar % of a linear acid having a carbon number in the range between about  $C_3$  to  
25  $C_{12}$ , and about 20 to 70 molar % of at least one branched acid having a carbon number in the range between about  $C_5$  to  $C_{13}$ .

21. A biodegradable two-cycle lubricant which comprises said lubricating oil of claim 1 and a lubricant additive package.

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22. The biodegradable two-cycle lubricant according to claim 21 wherein said additive package comprises at least one additive selected from the group consisting of: viscosity index improvers, corrosion inhibitors, oxidation inhibitors, coupling agents, dispersants, extreme pressure agents, color stabilizers, surfactants, diluents, detergents and rust inhibitors, pour point depressants, antifoaming agents, and anti-wear agents.

23. The biodegradable two-cycle lubricant according to claim 22 wherein said dispersant comprises a major amount of at least one oil of lubricating viscosity and a minor amount of a functionalized and derivatized hydrocarbon; wherein functionalization comprises at least one group of the formula  $-\text{CO}-\text{Y}-\text{R}^3$  wherein Y is O or S;  $\text{R}^3$  is aryl, substituted hydrocarbyl, and  $-\text{Y}-\text{R}^3$  has a pKa of 12 or less; wherein at least 50 mole % of the functional groups are attached to a tertiary carbon atom; and wherein said functionalized hydrocarbon is derivatized by a nucleophilic reactant.

24. The biodegradable two-cycle lubricant according to claim 23 wherein said nucleophilic reactant is selected from the group consisting of alcohols and amines.

25. The biodegradable two-cycle lubricant according to claim 21 wherein said lubricant comprises about 75 to 85% said lubricating oil basestock, about 1 to 5% solvent, with the remainder comprising said additive package.

26. The biodegradable two-cycle lubricant according to claim 21 wherein said lubricant comprises the following formulation:

0-10 wt.% of diisooctyladipate;

5-15 wt.% diisodecyladipate;

5 10-20 wt.% diisotridecyladipate;

40-60 wt.% of a biodegradable synthetic ester basestock which comprises the reaction product of: a branched or linear alcohol having the general formula  $R(OH)_n$ , wherein R is an aliphatic or cyclo-aliphatic group having from about 2 to 20 carbon atoms and n is at least 2; and mixed acids comprising about  
10 30 to 80 molar % of a linear acid having a carbon number in the range between about  $C_5$  to  $C_{12}$ , and about 20 to 70 molar % of at least one branched acid having a carbon number in the range between about  $C_5$  to  $C_{13}$ ;

5-15 wt.% of said complex alcohol ester; and

15 14-20 wt.% of said additive package.

27. A hydrocarbon fuel which comprises an add mixture of the following components:

a motor gasoline;

a biodegradable lubricating oil which comprises an add mixture of:

5 (1) a complex alcohol ester basestock which comprises the reaction product of an add mixture of the following: (a) a polyhydroxyl compound represented by the general formula:



wherein R is any aliphatic or cyclo-aliphatic hydrocarbyl group and n is at least 2,  
10 provided that said hydrocarbyl group contains from about 2 to 20 carbon atoms;  
(b) a polybasic acid or an anhydride of a polybasic acid, provided that the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.6:1 to 2:1; and (c) a monohydric  
15 alcohol, provided that the ratio of equivalents of said monohydric alcohol to equivalents of said polybasic acid is in the range between about 0.84:1 to 1.2:1;  
wherein said complex alcohol ester exhibits a viscosity in the range between about 100-700 cSt at 40°C and has a polybasic acid ester concentration of less than or equal to 70 wt.%, based on said complex alcohol ester; and (2) at least one  
20 additional basestock, wherein said biodegradable lubricating oil exhibits biodegradability of greater than 60% as measured by the Sturm test; and  
an additive package.

28. The hydrocarbon fuel according to claim 27 wherein said complex alcohol ester basestock is added in an amount such that said biodegradable  
25 lubricating oil exhibits a lubricity, as measured by the coefficient of friction, of less than or equal to 0.15.

29. The hydrocarbon fuel according to claim 27 wherein said additional basestock is selected from the group consisting of: natural oils, hydrocarbon-based  
30 oils and synthetic oils.

30. The hydrocarbon fuel according to claim 29 wherein said mineral oils are at least one oil selected from the group consisting of: rapeseed oils, canola oils and sunflower oils; said hydrocarbon-based oils are at least one oil selected from the group consisting of: mineral oils and highly refined mineral oils; and said synthetic oils are at least one oil selected from the group consisting of: poly alpha olefins, polyalkylene glycols; polyisobutylenes, phosphate esters, silicone oils, diesters, polyol esters, and other synthetic esters.

31. The hydrocarbon fuel according to claim 27 wherein said polyhydroxyl compound is at least one compound selected from the group consisting of: technical grade pentaerythritol and mono-pentaerythritol, and the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.75:1 to 2:1.

32. The hydrocarbon fuel according to claim 27 wherein said polyhydroxyl compound is at least one compound selected from the group consisting of: trimethylolpropane, trimethylolethane and trimethylolbutane, and the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.6:1 to 2:1.

33. The hydrocarbon fuel according to claim 27 wherein said polyhydroxyl compound is di-pentaerythritol and the ratio of equivalents of said polybasic acid to equivalents of alcohol from said polyhydroxyl compound is in the range between about 1.83:1 to 2:1.

34. The hydrocarbon fuel according to claim 27 wherein said additive package comprises at least one additive selected from the group consisting of: viscosity index improvers, corrosion inhibitors, oxidation inhibitors, coupling agents, dispersants, extreme pressure agents, color stabilizers, surfactants, diluents, detergents and rust inhibitors, pour point depressants, antifoaming agents, and anti-wear agents.

35. The hydrocarbon fuel according to claim 29 wherein said synthetic oil is a biodegradable synthetic ester basestock which comprises the reaction product of: a branched or linear alcohol having the general formula  $R(OH)_n$ , wherein R is an aliphatic or cyclo-aliphatic group having from about 2 to 20 carbon atoms and n is at least 2; and mixed acids comprising about 30 to 80 molar % of a linear acid having a carbon number in the range between about  $C_5$  to  $C_{12}$ , and about 20 to 70 molar % of at least one branched acid having a carbon number in the range between about  $C_5$  to  $C_{13}$ .

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/15617

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C10M11/00 C10M169/04 //(C10M11/00,105:42.105:46),  
(C10M169/04,105:42,105:46),C10N30:00,C10N40:26

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C10M C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document with indication, where appropriate, of the relevant passages	Relevant to claim No
X	FR 2 187 894 A (INST FRANCAIS DU PETROL) 18 January 1974	1,5-9, 13,19, 21,22, 27,29, 30,34
Y	see page 3, line 18 - line 22 see page 5, line 8 - line 11 see page 7, line 18 - line 30; example 11; table I	2-4, 10-12, 14-18, 20, 23-26, 28, 31-33,35
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Further documents are listed in the continuation of box C



Patent family members are listed in annex.

## Special categories of cited documents

"A" document defining the general state of the art which is not considered to be of particular relevance

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"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

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## INTERNATIONAL SEARCH REPORT

Inter national Application No

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Y	see column 3; examples IV,V,VI; tables 4,6,7	10-12, 14-18, 31-33
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Y	see page 2, line 46 - page 3, line 42	10-12, 14-18, 31-33
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